

# Small Estate Indemnity Form

A small estate indemnity form is used to administer small estates that have a portfolio value less than \$25,000 as instructed by the executor/s or administrator/s. This form can be used to transfer up to 8 securities, if you need to transfer more than 8 securities you will need to use an additional form.

This form is to be completed and provided with the following documents:



- Certified copy of the death certificate
- Certified copy of the last will and testament
- Executor/Administrator Authority form for each executor

**NEXT STEPS:** Once completed and signed, please return the form to the details provided above.

## Section 1 – Estate Account Details

Estate of The Late

Holder Identification Number (HIN)

          

## Section 2 – Executor/Administrator Details

Executor/Administrator 1

Mr  Ms  Mrs  Miss  Dr  Other

Surname

Given name

## Section 3 – Executor/Administrator Details

Executor Administrator 2

Mr  Ms  Mrs  Miss  Dr  Other

Surname

Given name

Executor/Administrator 3

Mr  Ms  Mrs  Miss  Dr  Other

Surname

Given name

## Section 4 – Security Details

Securities to be transferred

ASX Code	Security Name (eg. AMP Limited)	Quantity (No. of shares)

## Section 4 – Declaration & Signature/s - This section must be signed and witnessed for your instructions to be executed.

I/We hereby request a transmission/transfer to myself/ourselves as legal representative(s) of the estate or to the beneficiaries of the above named deceased without requiring a Grant of Probate/Letters of Administration or a reseal of Grant of Probate/Letters of Administration in the Australian State where the securities are registered.

I/We hereby covenant to indemnify and forever keep indemnified Australian Investment Exchange Limited ABN 71 076 515 930 ("AUSIEX") and its agents, from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against it by reason of compliance with this request. Persons signing this statement should note that the Corporations Act 2001 imposes severe penalties for making false statements (\$10,000 or imprisonment for 2 years or both) or failing to ensure that a statement is not false or misleading (\$5,000 or imprisonment for 1 year or both).

Executor/Administrator 1 Name

Signature

Date

Executor/Administrator 2 Name

Signature

Date

Executor/Administrator 3 Name

Signature

Date

Witness Name

Signature

Date

All signatures are required to be original. The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s).